## SEC Form 5

## FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB APPROVAL										
OMB Number: 3235-0362										
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
$\Box$	Form 3 Holdings Reported.

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

Eiled pursuant to Section 16(a) of the Securities Exchange Act of 1024

Form 4	Transactions	Reported.		or Section 3													
1. Name ar <u>Colby 1</u>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Goosehead Insurance, Inc.</u> [ GSHD ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) (First) (Middle) C/O GOOSEHEAD INSURANCE, INC.				3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2020							y/Year)	X Officer (give title Other (specify below) below) President and COO					
1500 SOLANA BLVD., BUILDING 4, STE 4500           (Street)           WESTLAKE         TX           (City)         (State)           (Zip)				4. If Amend	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
1. Title of Security (Instr. 3) 2. Transaction Date			2. Transaction	2A. Deemed Execution Date, if any		3. Transaction Code (Instr.		4. Securities Acquired (A) or Disp Of (D) (Instr. 3, 4 and 5)				osed 5. Amount o Securities Beneficially		nt of s ally	of 6. Owne		7. Nature of Indirect Beneficial Ownership
				(Month/Day/Year)		8)		Amoun	ıt	(A) or (D)	or Price		Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)		(D) of Indirect (I) (Instr. 4)		(Instr. 4)
Class A Common Stock			08/20/2020			<b>G</b> <sup>(1)</sup>		5	00	D	D \$0		23,131		D		
Class A Common Stock			12/31/2020		<b>G</b> <sup>(1)</sup>		1)	40,	000	D	\$0		23,131		D		
Class A Common Stock												143,402		I		By Colby 2014 Family Trust	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Disp of (D	osed )) r. 3, 4	Expir	te Exerc ration Da th/Day/Y		Amount of Securities Underlying Derivative Security (Inst 3 and 4)				9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Owners Form: Direct (I or Indire (I) (Instr	) Beneficial Ownershi ct (Instr. 4)
		(A) (D) Exercisable Date		Expiration Date	n Title	Amount or Number of e Shares											

Explanation of Responses:

1. This transaction involved a gift of securities by the reporting person. The total holdings reflected in the Forms 4 filed by the reporting person since the dates of the gifts reported hereby were previously reduced to reflect such gifts, as described in footnotes to such Form 4s.

**Remarks:** 

## /s/ P. Ryan Langston, as

02/12/2021 Attorney-in-Fact for Michael C. Colby

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.