FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |     |  |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|--|
| OMB Number: 3235-028     |     |  |  |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |  |  |
| ha aar raaaaaa.          | 0.5 |  |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Jones Mark Evan                                     |           |  |           |                 |        | 2. Issuer Name and Ticker or Trading Symbol Goosehead Insurance, Inc. [ GSHD ] |  |                   |  |                        |   |  |   | S. Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director X 10% Owner     X Officer (give title X Other (specify below)      CEO / Member of 10% owner group |  |   |                  |   |  |  |
|---|-----------|--|-----------|-----------------|--------|--|--|-------------------|--|------------------------|---|--|---|---|--|---|------------------|---|--|--|
| (Last) (First) (Middle) C/O GOOSEHEAD INSURANCE, INC. 1500 SOLANA BLVD., BUILDING 4, STE 4500 |           |  |           |                 |        | 3. Date of Earliest Transaction (Month/Day/Year) 06/05/2020                    |  |                   |  |                        |   |  |   |   |  |   |                  |   |  |  |
| (Street) WESTLAKE TX 76262  |           |  |           |                 |        |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |                   |  |                        |   |  |   |   | 6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting |   |                  |   |  |  |
| (City)  | (5        | State)                                     | (Zip)     |                 |        |  |  |                   |  |                        |   |  | Person  |   |  |   |                  |   |  |  |
|   |           | Tal  | ble I - I | Non-Der         | ivativ | re Se  | curit  | ties A            | cquir  | ed, C                  | isposed (                               | of, or E   | Beneficia   | ally Owned  | l .  |   |                  |   |  |  |
| 1. Title of Security (Instr. 3)   |           | 2. Transaction<br>Date<br>(Month/Day/Year) |           | Execution Date, |        | ition Date,  | 3.<br>Transaction<br>Code (Instr.<br>8)                  |                   | 4. Securities<br>Disposed Of   | Acquired<br>(D) (Instr | I (A) or<br>. 3, 4 and 5                | 5. Amount<br>Securities<br>Beneficially<br>Owned Fol<br>Reported | ,   | 6. Owners<br>Form: Dir<br>(D) or Ind<br>(I) (Instr. 4   | rect Indi<br>irect Ber<br>4) Ow  | ature of<br>rect<br>leficial<br>nership<br>tr. 4) |                  |   |  |  |
|   |           |  |           |                 |        | Code V   |  | Amount (A) or (D) |  | Price                  | Transaction<br>(Instr. 3 and            | n(s)<br>d 4)   |   | (   | u. 4)  |   |                  |   |  |  |
| Class B C   | ommon St  | ock  |           | 06/05/2         | 2020   |  |  |                   | С  |                        | 73,175                                  | D  | \$0   | 13,201  | ,004   | I   | Ву               | Trust <sup>(1)</sup>                            |  |  |
| Class A C   | Common St | ock  |           | 06/05/2         | 2020   |  |  |                   | С  |                        | 73,175                                  | A  | \$0   | 73,17   | 75   | I   | and<br>Jor<br>De | Mark<br>d Robyn<br>nes<br>scendants<br>ast 2014 |  |  |
| Class A C   | Common St | ock  |           | 06/05/2         | 2020   |  |  |                   | S  |                        | 3,989                                   | D  | \$69.34 <sup>()</sup>                                   | 69,18   | 36   | I   | and<br>Jor<br>De | Mark<br>I Robyn<br>nes<br>scendants<br>ast 2014 |  |  |
| Class A C   | Common St | ock  |           | 06/05/2         | 2020   |  |  |                   | S  |                        | 14,185                                  | D  | \$70.25   | 55,00   | )1   | I   | and<br>Jor<br>De | Mark<br>d Robyn<br>nes<br>scendants<br>ast 2014 |  |  |
| Class A C   | Common St | ock  |           | 06/05/2         | 2020   |  |  |                   | S  |                        | 20,923                                  | D  | \$71.48 <sup>(</sup>                                    | 34,07   | 78   | I   | and<br>Jor<br>De | Mark<br>I Robyn<br>nes<br>scendants<br>ast 2014 |  |  |
| Class A Common Stock  |           | 06/05/2020                                 |           |                 |        |  | S  |                   | 34,078   | D                      | \$72 <sup>(5)</sup>                     | 0  |   | I   | and<br>Jor<br>De   | Mark<br>I Robyn<br>nes<br>scendants<br>nst 2014   |                  |   |  |  |
| Class A C   | Common St | ock <sup>(6)</sup>                         |           |                 |        |  |  |                   |  |                        |   |  |   | 690,2   | 01   | D   |                  |   |  |  |
| Class B C   | ommon St  | ock <sup>(6)</sup>                         |           |                 |        |  |  |                   |  |                        |   |  |   | 182,3   | 49   | D   |                  |   |  |  |
|   |           |  | Table     |                 |        |  |  |                   |  |                        | sposed of                               |  |   |   |  |   |                  |   |  |  |
| Security or Exercise (Month/Day/Year) if any  |           |  |           |                 |        | 6. Date Exe<br>Expiration I<br>(Month/Day                                      |  | cisable and       | 7. Title and Amo<br>of Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |                        | t 8. Price of<br>Derivative<br>Security | derivat<br>Securit<br>Benefic<br>Owned<br>Follow<br>Report       | tive<br>ties<br>cially<br>d<br>ving<br>ted<br>action(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4)  | Beneficial<br>Ownership<br>(Instr. 4)  |   |                  |   |  |  |
|   |           |  |           |                 | Code   | v  | (A)  | (D)               | Date<br>Exerc  | isable                 | Expiration<br>Date                      | Title  | Amount<br>or<br>Number<br>of Share                      | .   |  |   |                  |   |  |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0     | 06/05/2020                                 |           |                 | С      |  |  | 73,175            | (1   | 7)                     | (7)                                     | Class A<br>Commo<br>Stock  |   | 5 \$0   | 13,20  | 01,004  | I                | By Trust <sup>(1)</sup>                         |  |  |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |      |  |     |   |                     |   |                            |   |  |  |  |  |
|--|---|--|---|------|--|-----|---|---------------------|---|----------------------------|---|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      | Transaction of Code (Instr. Derivative |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/\) | ate                 | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   | Code | v                                      | (A) | (D)   | Date<br>Exercisable | Expiration<br>Date  | Title                      | Amount<br>or<br>Number<br>of Shares                 |  |  |  |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC <sup>(6)</sup>   | \$0.0   |  |   |      |  |     |   | (7)                 | (7)   | Class A<br>Common<br>Stock | 182,349   |  | 182,349  | D  |  |

## **Explanation of Responses:**

- 1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held in trust for which the reporting person serves as a trustee and of which immediate family members of the reporting person are beneficiaries.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.73 to \$69.73 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Footnote to this Footnote to the issuer.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.74 to \$70.74 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$70.76 to \$71.76 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$71.77 to \$72.53 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 6. This does not reflect shares directly held by the reporting person's spouse, who is independently a reporting person of the issuer.
- 7. Each LLC Unit, together with a share of Class B common stock, may be converted by the holder into one share of Class A common stock at any time. The LLC Units do not expire.

## Remarks:

The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person. Following the reported transaction above, Mark and Robyn Jones, together with their trusts, continue to own beneficially 1,432,591 shares of Class A Common Stock and 13,565,702 shares of Class B Common Stock, for a total of 14,998,293 shares of Goosehead Insurance, Inc.

/s/ P. Ryan Langston, as Attorney-in-Fact for Mark Evan 06/08/2020 Jones

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.