LLC Units

in Goosehead Financial, LLC

\$0.0

11/04/2021

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPR	OVAL								
l	OMB Number:	3235-0287								
l	Estimated average bur									
l	hours per response.	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5

	ions may contir tion 1(b).	nue. See									urities Excha		1934			hours	per res	ponse:	0.5
Name and Address of Reporting Person* Mark & Robyn Jones Descendants Trust					2.	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol Goosehead Insurance, Inc. [GSHD] 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner													
<u>2014</u>																give title	X	Other ((specify
(Last)	•	irst) INSURANCE,	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 11/03/2021								below) A below) Member of 10% owner group					
1500 SO	LANA BLV	VD., BLDG 4, S	TE 4500			15.0			. (0 :: : :	.1.57				0.1			ETT.	(0)	-P1-1-
(Street) WESTLA	AKE T	x	76262		4.	. IT AM	ienam	ent, Date	of Origin	ai File	ed (Month/D	ay/Year)		Line)		ed by One	Repor	Check Ap rting Perso One Repo	n
(City)	(S	state)	(Zip)												1 013011				
		Ta	able I - N	on-De	rivati	ve S	ecui	ities A	cauire	d. D	isposed	of. or B	enefic	ially (Owned				
1. Title of S	Security (Ins			2. Trans Date (Month	saction	ar) if	A. Dec		3. Transa Code (ction	4. Securiti	es Acquire Of (D) (Inst	d (A) or		5. Amoun Securities Beneficia Owned Fo	s lly ollowing	Form	nership : Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount	(A) or (D)	(A) or Price Transaction(s)		on(s)			(
Class B C	Common Sto	ock		11/0	3/2021	1			С		23,832	2 D		\$ <mark>0</mark>	10,01	9,095		D ⁽¹⁾	
Class A C	Common St	ock		11/0	3/202	1			С		23,832	2 A		\$ <mark>0</mark>	23,	832		D ⁽¹⁾	
Class A C	Common Sto	ock		11/03/2021			21		S		3,101	D	\$13	5.56 ⁽²⁾	20,731			D ⁽¹⁾	
Class A C	Common Sto	ock		11/0	3/202	1			S		5,943	D	\$13	6.67(3)	14,	788		D ⁽¹⁾	
Class A C	Common Sto	ock		11/0	3/202	1			S		6,757	D	\$13	37.5 ⁽⁴⁾	8,0	31		D ⁽¹⁾	
Class A C	Common Sto	ock		11/0	021		S		1,937	D	\$13	8.91(5)	6,094			D ⁽¹⁾			
Class A C					11/03/2021				S		6,094	D	\$13	9.58(2)	0		-	D ⁽¹⁾	
Class B Common Stock				11/0	11/04/2021				С		22,524	l D		\$ <mark>0</mark>	9,996,571			D ⁽¹⁾	
Class A Common Stock				11/0	11/04/2021						22,524	I A		\$0		22,524		D ⁽¹⁾	
Class A Common Stock				11/0	11/04/2021				S		280	D	\$13	5.87(6)	22,244			D ⁽¹⁾	
Class A Common Stock				11/04/2021		1			S		308	8 D \$13		6.92(7)	21,936			D ⁽¹⁾	
Class A Common Stock			11/04/2021		1			S		9,930	D	\$13	8.29(8)	<u> </u>		D ⁽¹⁾			
Class A Common Stock				11/04/2021		1			S		10,756	5 D	\$13	8.95 ⁽⁹⁾	<u> </u>		D ⁽¹⁾		
Class A Common Stock				11/0	4/202	1			S		1,250	D	\$13	9.75 ⁽⁹⁾)		D ⁽¹⁾	
Class A Common Stock					_			-			_	+		203,		-	D ⁽¹⁰⁾		
Class B Common Stock					_			-			_	+		182,			D ⁽¹⁰⁾		
Class A Common Stock					_			-				+			,790		D ⁽¹¹⁾		
Class B Common Stock Class B Common Stock														2,349 60,355		D ⁽¹¹⁾	By (13)		
			Table II								posed o				wned				Trust ⁽¹²⁾
1. Title of	2.	3. Transaction	3A. Deem	<u> </u>	, puts	s, ca	_	varrant		_	, conver	7. Title an		-	8. Price of	9. Numbe	er of	10.	11. Nature
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	S. Hansaction Date (Month/Day/Year)	Execution if any (Month/Da	Date,	Transa Code (8)		of Der Sec Acq (A) Disp	ivative urities juired	Expiration (Month/E	on Dat	te	Securities Derivative (Instr. 3 a	Underl	ying by nt or	o. Price of Derivative Security (Instr. 5)	derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e es ally g i ion(s)	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	p of Indirect Beneficial Ownership t (Instr. 4)
			<u> </u>		Code	v	(A)	(D)	Exercisa	ble	Date	Title	Shares						
LLC Units in Goosehead Financial,	\$0.0	11/03/2021			С			23,832	(13)		(13)	Class A Common Stock	23,8	332	\$0	10,019	,095	D ⁽¹⁾	

Class A Common Stock

(13)

22,524

\$<mark>0</mark>

9,996,571

D⁽¹⁾

(13)

22,524

С

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)						equired, Disposed o ts, options, convert 6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
LLC Units in Goosehead Financial, LLC	\$0.0							(13)	(13)	Class A Common Stock	182,349		182,349	D ⁽¹⁰⁾	
LLC Units in Goosehead Financial, LLC	\$0.0							(13)	(13)	Class A Common Stock	132,349		132,349	D ⁽¹¹⁾	
LLC Units in Goosehead Financial, LLC	\$0.0							(13)	(13)	Class A Common Stock	1,860,355		1,860,355	I	By Trust ⁽¹²⁾

Mark & Robyn Jones Descendants Trust 2014

(First) (Middle) C/O GOOSEHEAD INSURANCE, INC. 1500 SOLANA BLVD., BLDG 4, STE 4500

WESTLAKE 76262 TX

(City) (State) (Zip)

1. Name and Address of Reporting Person*

Jones Mark Evan

(First) (Middle)

C/O GOOSEHEAD INSURANCE, INC.

1500 SOLANA BLVD., BUILDING 4, STE 4500

(Street)

WESTLAKE TX76262

(City) (State) (Zip)

1. Name and Address of Reporting Person*

Jones Robyn Mary Elizabeth

(Last) (First) (Middle)

C/O GOOSEHEAD INSURANCE, INC.

1500 SOLANA BLVD., BUILDING 4, STE 4500

(Street)

WESTLAKE TX76262 (State) (Citv) (Zip)

Explanation of Responses:

- 1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held (i) directly by the Mark & Robyn Jones Descendants Trust 2014 and (ii) indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of the Mark & Robyn Jones Descendants Trust 2014 and whose immediate family members are beneficiaries of the Mark & Robyn Jones Descendants
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.11 to \$136.10, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$136.12 to 137.11, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.13 to 138.12, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$138.19 to 139.19, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.51 to \$136.42, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$136.54 to \$137.17, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in
- 8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.60 to \$138.59, inclusive. The reporting person trust undertakes to provide

to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

- 9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$138.60 to \$139.59, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 10. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Mark Evan Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by his spouse, Robyn Mary Elizabeth Jones, who is independently a reporting person of the issuer.
- 11. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Robyn Mary Elizabeth Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by her spouse, Mark Evan Jones, who is independently a reporting person of the issuer.
- 12. Reflects shares of Class B Common Stock or LLC Units, as applicable, held indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of various trusts and whose immediate family members are beneficiaries of such trusts.

13. Each LLC Unit, together with a share of Class B Common Stock, may be converted by the holder into one share of Class A Common Stock at any time. The LLC Units do not expire.

Remarks:

/s/ P. Ryan Langston, as
Attorney-in-Fact for Robyn
Mary Elizabeth Jones
/s/ P. Ryan Langston, as
Attorney-in-Fact for Mark & Robyn Jones Descendants Trust 2014
/s/ P. Ryan Langston, as
Attorney-in-Fact for Mark Evan Jones

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.