LLC Units in Goosehead Financial, LLC

\$0.0

03/10/2020

С

18,760

(7)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, [D.C.	20549
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OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

By Trust⁽¹⁾

Section obligate	this box if no long 16. Form 4 or ions may continution 1(b).		ST		ed pu	ırsuan	t to Se	ection 16	6(a) of th	ne Sed	Company Ac	nge Act o	of 193		SHIP		OMB Numbe Estimated avours per res	erage bu		0.5	
Name and Address of Reporting Person* Jones Mark Evan					2.	2. Issuer Name and Ticker or Trading Symbol Goosehead Insurance, Inc. [GSHD]									eck all applic	able) r	X 10% Owner			er	
(Last) (First) (Middle) C/O GOOSEHEAD INSURANCE, INC. 1500 SOLANA BLVD., BUILDING 4, STE 4500						3. Date of Earliest Transaction (Month/Day/Year) 03/10/2020									X Officer (give title X Other (specify below) CEO / Member of 10% owner group						
(Street) WESTL			76262		4.	If Am	endme	ent, Date	e of Orig	jinal F	iled (Month/D	ay/Year)		Lin	X Form fi	led by led by	oup Filing One Repo	orting Pe	rson		
(City)	(S		(Zip)	lon Doris	/ativ	,o S) OLIF	itios A	oguir	od I	Disposed	of or F	Pono	ficial	ly Owned						
Da				2. Transaction Date (Month/Day/Yea		2A. Dee Execut ear) if any		A. Deemed execution Date, fany	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or			r	5. Amount o Securities Beneficially Owned Follo	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	rect direct 4)	7. Natu Indired Benefi Owner	ect ficial		
									Code V		Amount	(A) or (D)	Price	e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Class B (Common Sto	ock		03/10/20)20				С		18,760	D		\$ <mark>0</mark>	13,887,5	523	I E			rust ⁽¹⁾	
Class A Common Stock			03/10/20)20				С		18,760	A	:	\$ 0	18,760		I		By Mark and Robyn Jones Descendants Trust 2014			
Class A Common Stock		03/10/2020)			S		5,330	D	\$46	5.43 ⁽²⁾	13,430		I		By Mark and Robyn Jones Descendants Trust 2014				
Class A Common Stock 03		03/10/2020		20						s 6,859 D		\$47	7.47 ⁽³⁾	6,571		I		By Mark and Robyn Jones Descendants Trust 2014			
Class A Common Stock		03/10/2020)			S		5,893	D	\$48	3.28 ⁽⁴⁾	678		I		By Mark and Robyn Jones Descendants Trust 2014				
Class A Common Stock		03/10/2020		0			S		678	D	D \$49.33 ⁽⁵⁾		0		I		By Mark and Robyn Jones Descendants Trust 2014				
Class A Common Stock ⁽⁶⁾															720,301			D			
Class B Common Stock ⁽⁶⁾															182,349		D				
			Table I								sposed of s, convert				Owned						
Security or Exercise (Month/Day/Year) if any		emed 4. Transa Code (I /Day/Year) 8)		5. Number of (Instr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration (Month/Day		rcisable and Date	7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		mount	Derivative Security		imber of rative rities eficially ed wing orted saction(s)	10. Owners Form: Direct (or Indir (I) (Insti	ship D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
					`odo	\ \ \ '	(4)	(D)	Date	-lessie	Expiration	Titlo	or Nu	nount imber							

Class A Common Stock

18,760

\$<mark>0</mark>

13,887,523

(7)

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber ivative urities uired or oosed O) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/\)	ate	7. Title an of Securit Underlyin Derivative (Instr. 3 an	g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
LLC Units in Goosehead Financial, LLC ⁽⁶⁾	\$0.0							(7)	(7)	Class A Common Stock	182,349		182,349	D	

Explanation of Responses:

- 1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held in trust for which the reporting person serves as a trustee and of which immediate family members of the reporting person are beneficiaries.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.96 to \$46.89, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.98 to \$47.90, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.99 to \$48.98, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.99 to \$49.65, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 6. This does not reflect shares directly held by the reporting person's spouse, who is independently a reporting person of the issuer.
- 7. Each LLC Unit, together with a share of Class B common stock, may be converted by the holder into one share of Class A common stock at any time. The LLC Units do not expire.

Remarks:

The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the Mark and Robyn Jones Descendants Trust 2014. Following the reported transaction above, Mark and Robyn Jones, together with their trusts, continue to own beneficially 1,462,691 shares of Class A Common Stock and 14,252,221 shares of Class B Common Stock, for a total of 15,714,912 shares of Goosehead Insurance, Inc.

/s/ P. Ryan Langston, as Attorney-in-Fact for Mark Evan 03/11/2020 Jones

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.