## SEC Form 5 FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See OMB Number: 3235-0362 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Instruction 1(b). Estimated average burden **OWNERSHIP** hours per response 1.0 Form 3 Holdings Reported. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Form 4 Transactions Reported $\square$ or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) Goosehead Insurance, Inc. [GSHD] Jones Adrienne Director 10% Owner X Officer (give title Other (specify x below) below) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) (Last) 12/31/2021 Member of 10% owner group C/O GOOSEHEAD INSURANCE, INC. 1500 SOLANA BLVD., BLDG 4, STE 4500 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) X Form filed by One Reporting Person 76262 WESTLAKE TX Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of 2. Transaction 2A. Deemed 7. Nature of Execution Date,

6. Ownership Form: Direct (D) or Indirect (I) 5. Transaction Code (Instr. 8) Securities Beneficially Owned at end of Issuer's Fiscal Indirect Beneficial (Month/Day/Year) if any (Month/Day/Year) Ownership (Instr. 4) (Instr. 4) (A) or (D) Amount Price Year (Instr. 3 and 4) Class B Common Stock 12/21/2021 **G**<sup>(1)</sup> 78,530 D \$<mark>0</mark> 408,027 D Chick and The Bear **G**<sup>(1)</sup> 12/21/2021 Class B Common Stock 78.530 A **\$**0 78.530 T Irrevocable Trust Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
LLC Units in Goosehead Financial, LLC	\$0.0	12/21/2021		G		78,530	(2)	(2)	Class A Common Stock	78,530	\$0	408,027	D	
LLC Units in Goosehead Financial, LLC	\$0.0	12/21/2021		G	78,530		(2)	(2)	Class A Common Stock	78,530	\$0	78,530	I	Chick and The Bear Irrevocable Trust

Explanation of Responses:

1. This transaction involved a gift of securities by the reporting person to Chick & The Bear Irrevocable Trust.

2. Each LLC Unit, together with a share of Class B Common Stock, may be converted by the holder into one share of Class A Common Stock at any time. The LLC Units do not expire. Remarks:

## /s/ P. Ryan Langston, as Attorney-in-Fact for Adrienne

<u>Jones</u>

\*\* Signature of Reporting Person Date

02/14/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.