SEC Form 4	
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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Section 30(n) of the investment Company Act of 1940	
	1 0	Person <sup>*</sup> escendants Trust	2. Issuer Name and Ticker or Trading Symbol <u>Goosehead Insurance, Inc.</u> [ GSHD ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner
<u>2014</u>			3. Date of Earliest Transaction (Month/Day/Year) 08/09/2023	Officer (give title X Other (specify below)
(Last)	(First)	(Middle)	00/05/2023	Member of 10% owner group
C/O GOOSEHE 1500 SOLANA (Street)	BLVD., BLD	G 4, STE 4500	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person
WESTLAKE	TX	76262	Rule 10b5-1(c) Transaction Indication	
(City)	(State)	(Zip)	Check this box to indicate that a transaction was made pursuant to a the affirmative defense conditions of Rule 10b5-1(c). See Instruction	contract, instruction or written plan that is intended to satisfy 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature o Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)		
Class B Common Stock	08/09/2023		С		100	D	\$0	8,406,042	D <sup>(1)</sup>			
Class A Common Stock	08/09/2023		С		100	A	\$0	100	D <sup>(1)</sup>			
Class A Common Stock	08/09/2023		S		100	D	\$ <del>65</del>	0	D <sup>(1)</sup>			
Class B Common Stock	08/10/2023		С		1,252	D	\$0	8,404,790	<b>D</b> <sup>(1)</sup>			
Class A Common Stock	08/10/2023		С		1,252	A	\$0	1,252	D <sup>(1)</sup>			
Class A Common Stock	08/10/2023		S		1,252	D	\$65.2 <sup>(2)</sup>	0	D <sup>(1)</sup>			
Class B Common Stock	08/11/2023		С		2,158	D	\$0	8,402,632	D <sup>(1)</sup>			
Class A Common Stock	08/11/2023		С		2,158	A	\$0	2,158	D <sup>(1)</sup>			
Class A Common Stock	08/11/2023		S		2,158	D	\$64.09 <sup>(3)</sup>	0	<b>D</b> <sup>(1)</sup>			
Class A Common Stock								196,501	<b>D</b> <sup>(4)</sup>			
Class B Common Stock								182,349	D <sup>(4)</sup>			
Class A Common Stock								331,290	D <sup>(5)</sup>			
Class B Common Stock								132,349	D <sup>(5)</sup>			
Class B Common Stock								1,860,355	I	By Trust <sup>(6)</sup>		

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Seci Acq (A) ( Disp of (E	oosed D) tr. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
LLC Units in Goosehead Financial, LLC	\$0	08/09/2023		С			100	(7)	(7)	Class A Common Stock	100	\$0	8,406,042	D <sup>(1)</sup>	
LLC Units in Goosehead Financial, LLC	\$0	08/10/2023		С			1,252	(7)	(7)	Class A Common Stock	1,252	\$0	8,404,790	D <sup>(1)</sup>	
LLC Units in Goosehead Financial, LLC	\$0	08/11/2023		С			2,158	(7)	(7)	Class A Common Stock	2,158	\$0	8,402,632	D <sup>(1)</sup>	

			Table II - Deri (e.g.					cquired, Di				Owned												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Date	Date	Date	Date	Date	Date	Date	Date	Date	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code 8)	action (Instr.	of Deri Seci Acq (A) o Disp of (E	oosed D) tr. 3, 4	Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		d Amount of s Underlying e Security nd 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares													
LLC Units in Goosehead Financial, LLC	\$0							(7)	(7)	Class A Common Stock	182,349		182,349	D <sup>(4)</sup>										
LLC Units in Goosehead Financial, LLC	\$0							(7)	(7)	Class A Common Stock	132,349		132,349	D <sup>(5)</sup>										
LLC Units in Goosehead Financial, LLC	\$0							(7)	(7)	Class A Common Stock	1,860,355		1,860,355	I	By Trust <sup>(6)</sup>									
Mark 8	<u>x Robyn J</u>	Reporting Person*	(Middle)	<u>014</u>																				
		INSURANCE, 1 /D., BLDG 4, S'																						
(Street)	AKE	TX	76262																					
(City)		(State)	(Zip)																					
	nd Address of <mark>/lark Eval</mark>	Reporting Person <sup>*</sup> <u>1</u>																						
		(First) INSURANCE, T /D., BUILDING																						
(Street)		TX	76262																					
(City)		(State)	(Zip)		-																			
1. Name an		Reporting Person <sup>*</sup> ary Elizabeth																						
		(First)																						
(Street)	LANA BL\	/D., BUILDING	4, SIE 4500																					
WESTLA	AKE	TX	76262		_																			
(City)	n of Respons	(State)	(Zip)																					

## Explanation of Responses:

1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held (i) directly by the Mark & Robyn Jones Descendants Trust 2014 and (ii) indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of the Mark & Robyn Jones Descendants Trust 2014 and whose immediate family members are beneficiaries of the Mark & Robyn Jones Descendants Trust 2014.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.00 to \$65.69, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.00 to \$64.35, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

4. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Mark Evan Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by his spouse, Robyn Mary Elizabeth Jones, who is independently a reporting person of the issuer.

5. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Robyn Mary Elizabeth Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by her spouse, Mark Evan Jones, who is independently a reporting person of the issuer.

6. Reflects shares of Class B Common Stock or LLC Units, as applicable, held indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of various trusts and whose immediate family members are beneficiaries of such trusts.

7. Each LLC Unit, together with a share of Class B common stock, may be converted by the holder into one share of Class A common stock at any time. The LLC Units do not expire.

**Remarks:** 

/s/ John O'Connor, as Attorneyin-Fact for Robyn Mary 08/11/2023 Elizabeth Jones /s/ John O'Connor, as Attorneyin-Fact for Mark & Robyn 08/11/2023 Jones Descendants Trust 2014 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.