# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0287

OMB Number:

Estimated average burden

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I

By

Trust<sup>(15)</sup>

**\$95.08**<sup>(11)</sup>

**\$96.02**<sup>(12)</sup>

300

0

182,349

286,201

333,790

132,349

1,860,355

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	Charle this have if an language subject to
	Check this box if no longer subject to
$\square$	Section 16. Form 4 or Form 5
$\cup$	obligations may continue. See
	Instruction 1(h)

Class A Common Stock

Class A Common Stock

Class B Common Stock

Class A Common Stock

Class A Common Stock

Class B Common Stock

Class B Common Stock

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Instruct		·		File	d pursu	ant to Section 16(a ection 30(h) of the	) of the	Secur	ities Exchange	Act of 1	934	Indurs	s per response:	0.5	
		ess of Reporting F <u>yn Jones De</u>	Person <sup>*</sup> escendants Tr	2. Iss	uer Name <b>and</b> Tick sehead Insur	er or Tr	ading	Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title v Other (specify					
		(First) EAD INSURA BLVD., BLD	(Middle) NCE, INC. G 4, STE 4500	3. Date of Earliest Transaction (Month/Day/Year) 06/07/2021 Member of 10% owner group											
(Street) WESTLAKE TX 76262						4. If Amendment, Date of Original Filed (Month/Day/Year) 06/09/2021 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person									
(City)		(State)	(Zip)												
			Table I - N	on-Deriv	ative	Securities Ac	quire	d, Di	sposed of	, or Be	neficially (	Dwned			
Date				2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(	
Class B Common Stock 0					021		С		19,207	D	\$0	10,398,720	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/07/2	021		С		19,207	A	\$0	\$0 19,207			
Class A C	ommo	on Stock		06/07/2	2021		S		1,362	D	<b>\$90.83</b> <sup>(2)</sup>	17,845	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/07/2	021		S		9,436	D	<b>\$92.04</b> <sup>(3)</sup>	8,409	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/07/2	021		S		8,409	D	<b>\$92.76</b> <sup>(4)</sup>	0	D <sup>(1)</sup>		
Class B C	ommc	n Stock		06/08/2	021		С		21,605	D	\$0	10,377,115	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/08/2	021		С		21,605	A	\$0	21,605	D <sup>(1)</sup>		
Class A Common Stock 06/08/20							S		896	D	<b>\$94.05</b> <sup>(5)</sup>	20,709	D <sup>(1)</sup>		
Class A Common Stock 06/08/20							S		7,305	D	<b>\$94.99</b> <sup>(6)</sup>	13,404	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/08/2	021		S		13,404	D	<b>\$95.81</b> <sup>(7)</sup>	0	<b>D</b> <sup>(1)</sup>		
Class B C	ommo	n Stock		06/09/2	021		С		18,079	D	\$0	10,359,036	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/09/2	021		С		18,079	A	\$0	18,079	<b>D</b> <sup>(1)</sup>		
Class A C	ommo	on Stock		06/09/2	021		S		8,891	D	\$91.76 <sup>(8)</sup>	9,188	D <sup>(1)</sup>		
Class A C	ommo	on Stock		06/09/2	021		S		2,737	D	\$92.55 <sup>(9)</sup>	6,451	D <sup>(1)</sup>		
Class A Common Stock 06/09							S		2,000	D	<b>\$93.95</b> <sup>(10)</sup>	4,451	D <sup>(1)</sup>		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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4,151

300

06/09/2021

06/09/2021

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Secu Acq (A) c Disp of (D	oosed D) (Instr.	6. Date Exerc Expiration Da (Month/Day/Y	ate			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	3, 4 an		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

			Table II - Deri (e.g.					quired, Di ts, options				Owned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
LLC Units in Goosehead Financial, LLC	\$0.0	06/07/2021		с			19,207	(16)	(16)	Class A Common Stock	19,207	\$0	10,398,720 <sup>(17)</sup>	D <sup>(1)</sup>	
LLC Units in Goosehead Financial, LLC	\$0.0	06/08/2021		с			21,605	(16)	(16)	Class A Common Stock	21,605	\$0	10,377,115	D <sup>(1)</sup>	
LLC Units in Goosehead Financial, LLC	\$0.0	06/09/2021		с			18,079	(16)	(16)	Class A Common Stock	18,079	\$0	10,359,036	D <sup>(1)</sup>	
LLC Units in Goosehead Financial, LLC	\$0.0							(16)	(16)	Class A Common Stock	182,349		182,349	D <sup>(13)</sup>	
LLC Units in Goosehead Financial, LLC	\$0.0							(16)	(16)	Class A Common Stock	132,349		132,349	D <sup>(14)</sup>	
LLC Units in Goosehead Financial, LLC	\$0.0							(16)	(16)	Class A Common Stock	1,860,355		1,860,355	I	By Trust <sup>(15)</sup>
1500 SO (Street) WESTL/ (City) 1. Name ar Jones M (Last) C/O GO (Street) WESTL/ (City) 1. Name ar Jones F (Last) C/O GO	LANA BLV AKE nd Address of <u>Mark Eval</u> OSEHEAD LANA BLV AKE nd Address of <u>Robyn Ma</u>	(First) INSURANCE, I /D., BLDG 4, S' TX (State) Reporting Person* D (First) INSURANCE, I /D., BUILDING TX (State) Reporting Person* ITY Elizabeth (First) INSURANCE, I /D., BUILDING	TE 4500 76262 (Zip) (Middle) INC. 3 4, STE 4500 76262 (Zip) INC.												
(Street) WESTLAKE TX 76262															
(City)	n of Respons	(State)													

### Explanation of Responses:

1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held (i) directly by the Mark & Robyn Jones Descendants Trust 2014 and (ii) indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of the Mark & Robyn Jones Descendants Trust 2014 and whose immediate family members are beneficiaries of the Mark & Robyn Jones Descendants Trust 2014.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.34 to \$91.09, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.39 to \$92.38, inclusive. The reporting person trust undertakes to provide to

the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.39 to \$93.17, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.43 to \$94.41, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.44 to \$95.43, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.45 to \$96.38, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.30 to \$92.20, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.31 to \$93.20, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

10. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.50 to \$94.41, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.53 to \$95.47, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

12. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.57 to \$96.13, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

13. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Mark Evan Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by his spouse, Robyn Mary Elizabeth Jones, who is independently a reporting person of the issuer.

14. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Robyn Mary Elizabeth Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by her spouse, Mark Evan Jones, who is independently a reporting person of the issuer.

15. Reflects shares of Class B Common Stock or LLC Units, as applicable, held indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of various trusts and whose immediate family members are beneficiaries of such trusts.

16. Each LLC Unit, together with a share of Class B Common Stock, may be converted by the holder into one share of Class A Common Stock at any time. The LLC Units do not expire.

17. Does not reflect shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by the reporting person individuals, who are each independently a reporting person of the issuer.

#### Remarks:

The prior filing failed to include a remark that the sales reported above were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person, The Mark and Robyn Jones Descendants Trust 2014.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.