SEC Form 4
FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

Class A Common Stock

05/13/2021

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

Γ	OMB APPROVAL												
Ī	OMB Number: 3235-028												
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	hours per response:	0.5											

By Mark and Robyn

Jones Descendants Trust 2014

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Jones Robyn Mary Elizabeth					2. Issuer Name and Ticker or Trading Symbol <u>Goosehead Insurance, Inc.</u> [GSHD]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner Officer (give title v Other (specify				
					Date of Earliest Trai /13/2021	nsactior	ו (Mor	ith/Day/Year)	Member of 10% owner group							
(Street) WESTLAKE TX 76262 (City) (State) (Zip)					f Amendment, Date	of Orig	inal F	iled (Month/Da	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														4		
1. Title of Security	r (Instr. 3)		2. Transaction Date (Month/Day/Y		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			d 5) 5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class B Commo	n Stock		05/13/202	21		С		52,599	D	\$ <mark>0</mark>		12,475,683	I	By Trust ⁽¹⁾		
Class A Commo	on Stock		05/13/202	21		С		52,599	A	\$0		52,599	I	By Mark and Robyn Jones Descendants Trust 2014		
Class A Common Stock		05/13/2021			S		20,617	D	\$82.03 ⁽²⁾		31,982	I	By Mark and Robyn Jones Descendants Trust 2014			
Class A Common Stock		05/13/2021			S		9,308	D	\$82.9	J (3)	22,674	I	By Mark and Robyn Jones Descendants Trust 2014			
Class A Commo	on Stock		05/13/202	21		S		7,832	D	\$83.9	9(4)	14,842	I	By Mark and Robyn Jones Descendants Trust 2014		
Class A Commo	on Stock		05/13/202	21		S		8,712	D	\$84.9	1(5)	6,130	I	By Mark and Robyn Jones Descendants Trust 2014		
Class A Commo	on Stock		05/13/202	21		S		2,929	D	\$86.0	1(6)	3,201	I	By Mark and Robyn Jones Descendants Trust 2014		
Class A Commo	on Stock		05/13/202	21		S		2,201	D	\$87.0	3 (7)	1,000	I	By Mark and Robyn Jones Descendants Trust 2014		
Class A Commo	n Stock		05/13/202	21		s		700	D	\$88.5	2 ⁽⁸⁾	300	I	By Mark and Robyn Jones Descendants Trust 2014		

S

300

D

\$89.17(9)

0

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common Stock ⁽¹⁰⁾								333,790	D			
Class B Common Stock ⁽¹⁰⁾								132,349	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber 6. Date Exercisable and Expiration Date (Month/Day/Year) rrities uired osed 0 (Instr. and 5) 0.		te of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
LLC Units in Goosehead Financial, LLC	\$0.0	05/13/2021		с			52,599	(11)	(11)	Class A Common Stock	52,599	\$0	12,475,683	I	By Trust ⁽¹⁾
LLC Units in Goosehead Financial, LLC ⁽¹⁰⁾	\$0.0							(11)	(11)	Class A Common Stock	182,349		132,349	D	

Explanation of Responses:

1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held in trust for which the reporting person serves as a trustee and of which immediate family members of the reporting person are beneficiaries.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$81.46 to \$82.45, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$82.47 to \$83.45, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$83.50 to \$84.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$84.52 to \$85.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.58 to \$86.56, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$86.59 to \$87.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$87.86 to \$88.86, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$88.88 to \$89.33, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

10. This does not reflect shares directly held by the reporting person's spouse, who is independently a reporting person of the issuer.

11. Each LLC Unit, together with a share of Class B common stock, may be converted by the holder into one share of Class A common stock at any time. The LLC Units do not expire.

Remarks:

<u>(s/ P. Ryan Langston, as</u> <u>Attorney-in-Fact for Robyn</u> <u>Mary Elizabeth Jones</u> ** Signature of Reporting Person

05/14/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.