## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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3235-0287

0.5

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|  | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|   |            |             |   | or Section 30(h) of the                           | e Investr | nent C            | Company Act c | of 1940  |   |   |   |    |  |
|---|------------|-------------|---|---|-----------|-------------------|---------------|----------|---|---|---|----|--|
| 1. Name and Addre<br>Mark & Rob<br>2014 |            |             | rust                                    | 2. Issuer Name <b>and</b> Ticl<br>Goosehead Insur | rance,    | <u>, Inc</u>      | . [ GSHD ]    |          | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director X 10% Owner<br>Officer (give title<br>below) X Other (spec<br>below) |   |   |    |  |
| (Last)<br>C/O GOOSEHE                   |            | (Middle)    |   | 3. Date of Earliest Trans<br>11/01/2022           | saction ( | Montl             | h/Day/Year)   |          |   | Member of 1   | 0% owner gro  | up |  |
|   |            |             |   |   |           |                   |               |          |   |   |   |    |  |
| 1500 SOLANA                             | BLVD., BLD |             | 4. If Amendment, Date of                | of Origin   | al File   | ed (Month/Day     | /Year)        |          | 6. Individual or Joint/Group Filing (Check Applicable Line)   |   |   |    |  |
| (Street)<br>WESTLAKE                    | TX         | 76262       |   |   |           |                   |               |          |   | Form filed by On  | Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |    |  |
| (City)                                  | (State)    | (Zip)       |   |   |           |                   |               |          |   |   |   |    |  |
|   |            | Table I - N | on-Deriva                               | tive Securities Ac                                | quire     | d, D              | isposed of    | f, or Be | eneficial   | ly Owned  |   |    |  |
| Date                                    |            |             | 2. Transactior<br>Date<br>(Month/Day/Yo | Execution Date, Transaction Disposed Of (         |           |                   |               |          | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                     |    |  |
|   |            |             |   | Code  | v         | Amount (A) or (D) |               | Price    | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |    |  |
| Class B Commo                           | n Stock    | 11/01/202   | .2                                      | с   |           | 42,049            | D             | \$0      | 9,236,086   | <b>D</b> <sup>(1)</sup>   |   |    |  |
|   |            |             |   |   |           |                   |               |          |   | 10.010  | = (1)   |    |  |

|                      |            | Code | v | Amount | (A) or<br>(D) | Price                          | Transaction(s)<br>(Instr. 3 and 4) |                         |                            |
|----------------------|------------|------|---|--------|---------------|--------------------------------|------------------------------------|-------------------------|----------------------------|
| Class B Common Stock | 11/01/2022 | С    |   | 42,049 | D             | \$0                            | 9,236,086                          | <b>D</b> <sup>(1)</sup> |                            |
| Class A Common Stock | 11/01/2022 | С    |   | 42,049 | A             | \$0                            | 42,049                             | <b>D</b> <sup>(1)</sup> |                            |
| Class A Common Stock | 11/01/2022 | S    |   | 41,449 | D             | <b>\$</b> 40.85 <sup>(2)</sup> | 600                                | <b>D</b> <sup>(1)</sup> |                            |
| Class A Common Stock | 11/01/2022 | S    |   | 600    | D             | <b>\$</b> 41.71 <sup>(3)</sup> | 0                                  | <b>D</b> <sup>(1)</sup> |                            |
| Class B Common Stock | 11/02/2022 | С    |   | 1,106  | D             | \$0                            | 9,234,980                          | D                       |                            |
| Class A Common Stock | 11/02/2022 | С    |   | 1,106  | A             | \$0                            | 1,106                              | D                       |                            |
| Class A Common Stock | 11/02/2022 | S    |   | 1,106  | D             | \$40.0717(4)                   | 0                                  | D                       |                            |
| Class A Common Stock |            |      |   |        |               |                                | 196,501                            | D <sup>(5)</sup>        |                            |
| Class B Common Stock |            |      |   |        |               |                                | 182,349                            | D <sup>(5)</sup>        |                            |
| Class A Common Stock |            |      |   |        |               |                                | 331,290                            | <b>D</b> <sup>(6)</sup> |                            |
| Class B Common Stock |            |      |   |        |               |                                | 132,349                            | <b>D</b> <sup>(6)</sup> |                            |
| Class B Common Stock |            |      |   |        |               |                                | 1,860,355                          | I                       | By<br>Trust <sup>(7)</sup> |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | (e.g., puis, cans, warrants, options, convertible securities)         |  |   |                              |   |  |  |  |                    |                                      |                                  |  |           |  |  |
|---|---|--|---|------------------------------|---|--|--|--|--------------------|--------------------------------------|----------------------------------|--|-----------|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) (<br>Disp<br>of (I | umber<br>vative<br>urities<br>uired<br>or<br>oosed<br>0) (Instr.<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | xpiration Date Securities Underlying |                                  | es Underlying Derivative Security Security |           | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable  | Expiration<br>Date | Title                                | Amount or<br>Number of<br>Shares |  |           |  |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0   | 11/01/2022                                 |   | С                            |   |  | 42,049   | (8)  | (8)                | Class A<br>Common<br>Stock           | 42,049                           | \$0  | 9,236,086 | D <sup>(1)</sup>   |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0   | 11/02/2022                                 |   | С                            |   |  | 1,106  | (8)  | (8)                | Class A<br>Common<br>Stock           | 1,106                            | \$0  | 9,234,980 | D  |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0   |  |   |                              |   |  |  | (8)  | (8)                | Class A<br>Common<br>Stock           | 182,349                          |  | 182,349   | D <sup>(5)</sup>   |  |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0   |  |   |                              |   |  |  | (8)  | (8)                | Class A<br>Common<br>Stock           | 132,349                          |  | 132,349   | D <sup>(6)</sup>   |  |

|   |   |  | Table II - Deri  |                              |        |     |     |                     |                    |                                       |  | Dwned |  |  |                         |
|---|---|--|--|------------------------------|--------|-----|-----|---------------------|--------------------|---------------------------------------|--|-------|--|--|-------------------------|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year)     | (e.g.<br>3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) | action |     |     | isable and ate      | 7. Title an        | d Amount of<br>Underlying<br>Security | Amount of<br>Inderlying<br>Security Security |       | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                         |
|   |   |  |  | Code                         | v      | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title                                 | Amount or<br>Number of<br>Shares             |       |  |  |                         |
| LLC Units<br>in<br>Goosehead<br>Financial,<br>LLC   | \$0.0   |  |  |                              |        |     |     | (8)                 | (8)                | Class A<br>Common<br>Stock            | 1,860,355                                    |       | 1,860,355  | I  | By Trust <sup>(7)</sup> |
|   |   | Reporting Person*                              | dants Trust 20   | 014                          |        |     |     |                     |                    |                                       |  |       |  |  |                         |
|   |   | (First)<br>INSURANCE, 1<br>/D., BLDG 4, S      |  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (Street)<br>WESTLA                                  | <b>AKE</b>  | ТХ   | 76262  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (City)  |   | (State)  | (Zip)  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
|   | nd Address of<br><mark>Aark Eva</mark> t                              | Reporting Person <sup>*</sup><br><u>1</u>      |  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
|   |   | (First)<br>INSURANCE, 1<br>/D., BUILDING       |  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (Street)<br>WESTL                                   | AKE   | ТХ   | 76262  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (City)  |   | (State)  | (Zip)  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
|   |   | Reporting Person <sup>*</sup><br>ary Elizabeth |  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
|   |   | (First)<br>INSURANCE, 1<br>/D., BUILDING       |  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (Street)<br>WESTL                                   | AKE   | ТХ   | 76262  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |
| (City)  |   | (State)  | (Zip)  |                              |        |     |     |                     |                    |                                       |  |       |  |  |                         |

Explanation of Responses:

1. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held (i) directly by the Mark & Robyn Jones Descendants Trust 2014 and (ii) indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of the Mark & Robyn Jones Descendants Trust 2014 and whose immediate family members are beneficiaries of the Mark & Robyn Jones Descendants Trust 2014.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.56 to \$41.50, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.59 to \$41.81, inclusive. The reporting person trust undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.00 to \$40.34, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

5. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Mark Evan Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by his spouse, Robyn Mary Elizabeth Jones, who is independently a reporting person of the issuer.

6. Reflects shares of Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held directly by Robyn Mary Elizabeth Jones, and does not reflect Class A Common Stock, shares of Class B Common Stock or LLC Units, as applicable, held by her spouse, Mark Evan Jones, who is independently a reporting person of the issuer.

7. Reflects shares of Class B Common Stock or LLC Units, as applicable, held indirectly by Mark Evan Jones and Robyn Mary Elizabeth Jones, who serve as trustees of various trusts and whose immediate family members are beneficiaries of such trusts.

8. Each LLC Unit, together with a share of Class B Common Stock, may be converted by the holder into one share of Class A Common Stock at any time. The LLC Units do not expire.

**Remarks:** 

| <u>/s/ P. Ryan Langston, as</u> |            |
|---------------------------------|------------|
| Attorney-in-Fact for Robyn      | 11/03/2022 |
| Mary Elizabeth Jones            |            |
| /s/ P. Ryan Langston, as        |            |
| Attorney-in-Fact for Mark &     | 11/03/2022 |
| Robyn Jones Descendants Trust   | 11/05/2022 |
| <u>2014</u>                     |            |
| /s/ P. Ryan Langston, as        | 11/03/2022 |

Attorney-in-Fact for Mark Evan Jones

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.